



Implementation of Optimal Load Balancing Strategy for Hybrid Energy Management System in DC/AC Microgrid with PV and Battery Storage

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ABSTRACT

The proposed paper presents the DC/AC microgrid modeling using the Energy storage units and photovoltaic (PV) panels. The modal consists of a two stage power conversion. The power is supplied to the both DC and AC loads by this PV solar panels. The suitable way to explore the PV generation model is by using manufacturer datasheet. A bidirectional converter is connected to the battery storage system and dc bus. To keep the bus voltage stable, the storage system absorbs the excess power whenever generation is more and delivers power to the load when generation is less. This system eliminates hazards of islanding by supply the local loads continuously incase of grid discontinuity. This paper emphasizes on control and stability of dc bus voltage and energy management scheme. Matlab/Simulink is used for integration of system modeling and efficiency of the system is verified by simulation.

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1. INTRODUCTION

In the conventional power generation system using fossil fuels have many drawbacks like environmental pollution, high price of fuel costs, limited resources, and also the demand of energy is increasing nowadays. To reduce the reliance on fossil fuels and power generation from renewable energy resources, the best alternative is solar power. Consequently, these resources are environmental friendly [1], clean and plenty in nature. However, the varying output with the fluctuation of the environmental condition like solar irradiation and temperature of the solar energy system is the main drawback. To overcome this drawback, solar PV, battery energy storage system (BESS) and ac grid microgrid system is one of the ultimate solutions for managing the energy production from renewable energy source to assure the load demand. The increased efficiency and well balanced stability of the power supply for different load needs are achieved by an optimum grouping and integration of well-intended sizing PV, battery and ac grid system for a given location.

Many research studies on modeling, designing and simulation of hybrid power energy systems have been

reported in [2-7]. In [8] the wind farm synergy to maintain the fluctuating power of the wind farm and a control technique for optimum utilization of the battery energy storage with optimum control technique was developed. The conventional feedback-based control approaches which include the operating constraints of BESS such as SOC (state of charge) limit, charge/discharge rate, and lifetime was used in the study. Modeling and performance analysis of the Wind/Solar/battery hybrid microgrid system to boost the use of renewable energy source for matching the load demand in residential application was proposed in [9]. In [10], modeling and study of battery energy storage system for integrating intermittent renewable energy resources with energy storage for grid connected systems was presented.

This paper presently proposes the design, control and energy balance of hybrid micro grid consisting of PV, BESS, ac grid and load (both dc and ac) for off-grid and grid connected mode. At environmental conditions ac grid and load (both dc and ac) for off-grid and grid- even in PV, BESS and grid hybrid microgrid, the load can be supplied by solar and when there is poor solar irradiation

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under uneven environmental conditions the load can be supplied from BESS. Whenever, if SOC of the battery energy is smaller than 60% then, the ac grids supply power to the load. In case of power surplus after charging battery the extra energy is also sold to the grid. To avoid hazard of islanding, using pulse width modulation the ac load is fully supplied power from the dc grid. Thus in case of grid interruptions, PV and BESS supplies power continues to ac load.

This paper is written in four sections. Section II explains architecture of AC/DC microgrid. Validation of proposed work by simulation is covered in section III and section IV concludes the work.

2. DC/AC MICROGRID ARCHITECTURE

The complete architecture of the DC/AC micro grid structure proposed in this work is illustrated in Figure 1. It comprises three main blocks like PV power generation, battery energy storage system, ac grid and loads (both ac and dc loads). The dc bus acts as pipelined between PV generation unit DC/DC boost converter with MPPT function. Using bidirectional mode via DC/DC converter, the BESS can store energy and deliver/take power to/from the dc bus in discharging and charging. Based on the PV and BESS standing as well as the load demands, the AC grid can deliver power to or receive power from the dc micro grid via bidirectional AC/DC rectifier/inverter. The detailed operation and control of each block is explained in the continuing sections.

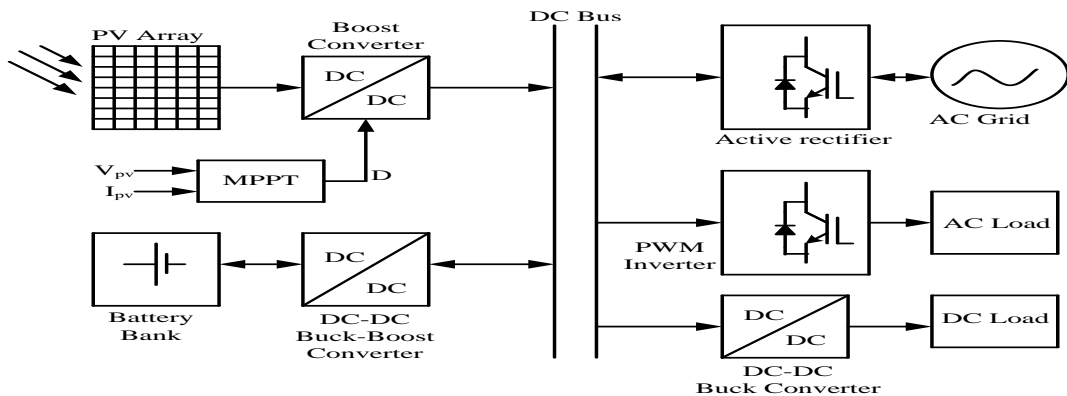


Figure 1. The proposed system architecture

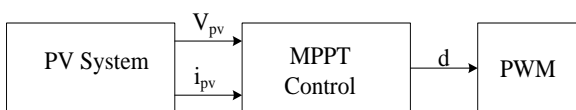


Figure 2. MPPT control with direct duty ratio

2. 1. Control of PV System

In general the energy transfer efficiency (i.e. solar power into electrical power) is poor for photovoltaic plants. Therefore, it is necessary to fully recover the available entire mechanical energy into electrical energy. Accordingly, the PV system runs at the Peak Power Point (PPP). For this reason, Perturb and Observe (P&O) based Maximum Power Point Tracking (MPPT) is included in the boost converter. The duty cycle of the MPPT converter is directly used as a control parameter to control the PV system is the direct duty ratio error as shown in Figure 2 [11].

Maximum Power Point Tracking Equation (1) (a) & (b) for solar:

$$\Delta I_{pv} = I_{pv}(k) - I_{pv}(k - 1) \tag{1a}$$

$$\Delta V_{pv} = V_{pv}(k) - V_{pv}(k - 1) \tag{1b}$$

where, $I_{pv}(k)$ and $V_{pv}(k)$ are the instantaneous sampled current and voltage of the solar array.

The governing Equations (2) (a), (b) & (c) are in C-based MPPT algorithm.

$$\frac{\Delta I_{pv}}{\Delta V_{pv}} = -\frac{I_{pv}}{V_{pv}}, \text{ at MPPT} \tag{2a}$$

$$\frac{\Delta I_{pv}}{\Delta V_{pv}} > -\frac{I_{pv}}{V_{pv}} \tag{2b}$$

eft of MPPT on $P_{pv} \frac{v}{\Delta} V_{pv}$ curve

$$\frac{\Delta I_{pv}}{\Delta V_{pv}} < -\frac{I_{pv}}{V_{pv}} \tag{2c}$$

right of MPPT on $P_{pv} \frac{v}{\Delta} V_{pv}$ curve

where Δ =change of current or voltage

The reference PV voltage and sensed dc link voltage are then used to estimate the duty ratio for the boost converter. The governing Equation (3) for estimating

duty ratio is:

$$D_{ref}(k) = 1 - \frac{V_{pvref}(k)}{V_{dc}ref(k)} \quad (3)$$

This reference duty ratio is used to generate switching logic for boost converter.

Modeling of DC/DC Boost Converter:

$$\frac{Ldi_L}{dt} = Vi - (1 - d)vo \quad (4)$$

$$\frac{Cdvo}{dt} = (1 - d)il - io \quad (5)$$

In boost converter model Equations (4) and (5), where v_i and v_o are the input and output voltages, respectively, i_L is the inductor current, i_o is the output current flowing into the dc-link, d is the duty ratio, L is the inductance, and C_o is the output capacitance.

2. 2. Control of Grid Connected Converter

The supply network and DC network are connected by a bidirectional AC/DC rectifier shown in Figure 3. The simple control technique of active rectifier comprises of regulating output dc voltage at a specified limit with a feedback loop rule [12]. This DC voltage is measured and compared with the reference V^*_{dc} . The error or difference signal generated from this comparison serves to control the switches of the PWM rectifier. The power flow is determined based on this DC link voltage value. When the DC load current I_0 is positive (rectifier operation), the capacitor is discharged and the error signal becomes positive. Consequently, the control block receives power from the supply by generating the corresponding PWM signals for the switches of the converter. On the other hand, the capacitor is overcharged when I_0 becomes negative (inverter operation), and the error signal requests the controller to discharge the capacitor return power to the alternating current network.

2. 3. Control of Load Side Voltage Source Inverter

For ac loads, the voltage source inverter (VSI) is used to supply power. At load side frequency and voltage is regulated using this inverter function. A sine pulse width

modulation (SPWM) is used in this paper to maintain the output voltage disparity due to power fluctuation or load dynamics.

Control Approach for Three-Phase VSC:

The three-phase VSC is controlled to achieve the objective of reactive power compensation, harmonics mitigation, grid current balancing, and regulation of dc link voltage to desired adjustable reference value. A total of nine quantities are sensed to control the SECS, which are line voltages (v_{sab} and v_{sbc}), dc link voltage (v_{dc}) and grid currents (i_{ga} and i_{gb}), load currents (i_{La} and i_{Lb}), PV array voltage (v_{pv}), and PV array current (i_{pv}). The phase voltages are estimated from the sensed line voltages, and then the synchronization signals are estimated from the phase voltages. To estimate the synchronization signals, at first amplitude of phase voltages is estimated. The amplitude of phase voltages is estimated as given in Equation (6).

$$V_z = \sqrt{\frac{2(vsa^2+vsb^2+vsc^2)(x+a)^n}{3}} \quad (6)$$

This amplitude is used to determine the synchronization signals of CPI voltage that contains the phasor information of all phase voltages. The synchronization signals are estimated as:

$$a = \frac{Vsa}{V_z}, \quad Zb = \frac{Vsb}{V_z}, \quad Zc = \frac{Vsc}{V_z} \quad (7)$$

2. 4. Control of Battery Energy Storage System

Battery and bidirectional dc-dc converter (BDC) controller setup is shown in Figure 4. The main purpose of the bi-directional dc-dc converter is to regulate constant dc-link voltage (V^*_{dc}) as a reference value besides to charge/discharge current to/from batteries bank based on the necessary load power. The voltage of the battery is less as compared to the reference dc-link voltage and power exchanged is also achieved by using bi-directional dc converter control. The reference power for BDC is generated by power management control scheme. The battery reference current (I_{Bref}) is calculated using Equation (8):

$$I_{Bref} = \frac{P_{BDref}/B_{Cref}}{V_B} \quad (8)$$

Based on I_{Bref} value the switching mode of the converter is determined. In this paper, the battery voltage is chosen at around 324 V, whereas $V^*_{dc} = 650V$. The battery depth of discharge is considered 20% [13] and is depending on the idea that it should provide the electric power to the loads of a 7.5 kW (at half load) for approximately three hours to reduce power demand of the grid in peak hrs.

The values of inductor and capacitors in the bi-directional dc-dc converter are estimated in the following equations [13].

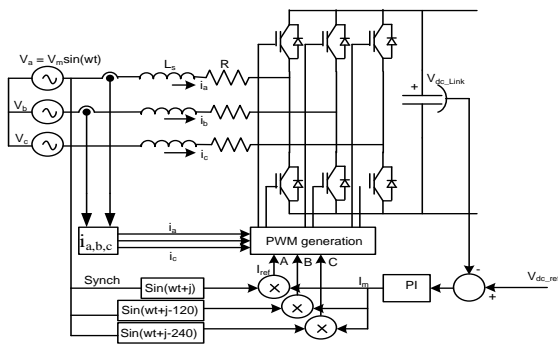


Figure 3. Current controlled bidirectional VSI

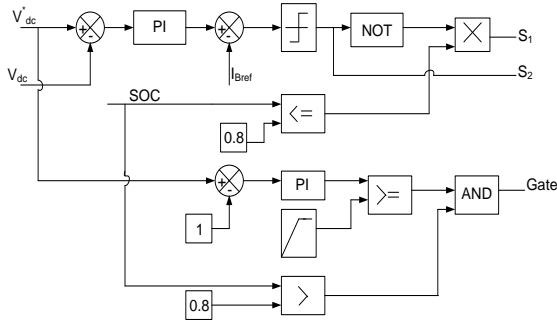


Figure 4. Battery bidirectional dc-dc converter control

$$L = \frac{V_{batt} * (V_{dclink} - V_{batt})}{I_{batt} * f_s * V_{dclink}} \tag{9}$$

$$Buckmode C = \frac{K_L * I_{batt}}{8 * f_s * V_{batt(ripple)}} \tag{10}$$

$$Boostmode C = \frac{D_{Boost} * I_{dclink}}{f_s * V_{dclink(ripple)}} \tag{11}$$

where: V_{Batt} , V_{dc_link} , I_{dclink} , $I_{Battery}$; are the battery, dc bus voltage and currents respectively, $V_{Batt(ripple)}$ indicates ripple in battery voltage and K_L is estimated ripple in inductor current. In this proposed work, $L = 2mH$.

When there is lack/excess of ac/dc load power present, the battery storage is used to maintain power balance by discharge/charge within particular limit. In this proposed work, when solar power is surplus then it first supplies power to the battery bank and it charges up to upper boundary of charge carrying capacity. After that, the extra power is injected to the grid through bi-directional active rectifier. In this case, the upper boundary of SOC and present condition of SOC are compared and the manager switching judgment is prepared. Whereas in islanding condition the loads are get powered from PV and battery bank. In this way, the ac loads are supplied power from the dc bus through the PWM inverter.

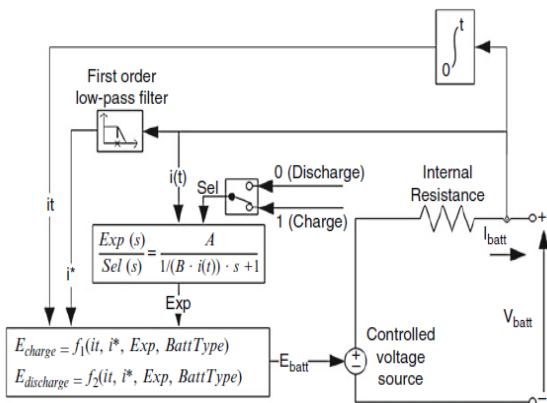


Figure 5. Matlab Simulink Model

This is done because when the disturbances occur in the ac grid, the ac load continues to operate by the islanding mode of operation and provides better relatively than grid connected solar inverter. In case of PV power generation and battery fails to satisfy the load demand due to low SOC of the battery, the ac grid supplies power to the load. For maintaining the dc-link voltage, based on the above process, the lower and upper limits for the battery bank SOC are kept at 0.2 and 0.8, respectively [13].

MATLAB MODEL OF SOC

The implementation of state of charge battery using MATLAB/SIMULINK is presented in this section and its MATLAB model is shown in Figure 5. This simulation focuses on knowing the state of charge of a battery by connecting it to a load with constant charging and discharging.

Case 1; Charge model ($i^* < 0$)

$$f2(it, i^*, i, Exp) = E_0 - K \cdot \frac{Q}{it+0.1Q} \cdot i^* - K \cdot \frac{Q}{Q-it} \cdot it + Laplace^{-1} \left(\frac{Exp(s)}{Sel(s)} \cdot \frac{1}{s} \right) \tag{12}$$

Case 2; Discharge model ($i^* > 0$)

$$f1(it, i^*, i, Exp) = E_0 - K \cdot \frac{Q}{Q-it} \cdot it \cdot i^* - K \cdot \frac{Q}{Q-it} \cdot it + Laplace^{-1} \left(\frac{Exp(s)}{Sel(s)} \cdot 0 \right) \tag{13}$$

where, E_0 = constant voltage (V)
 $Exp(s)$ = Exponential Zone dynamics (V)
 $Sel(s)$ = Represents the battery mode. $Sel(s) = 0$ during battery discharge. $Sel(s) = 1$ during battery charging.
 K =Polarization constant (Ah^{-1}) or polarization resistance (ohms)
 i^* = Low frequency current dynamics (A)
 i = Battery current (A)
 it = Extracted capacity (Ah)
 Q = Maximum battery capacity (Ah)

Modeling of Battery

The battery terminal voltage v_B is as follows:

$$V_B = V_{OC} - R_B i_B \tag{14}$$

where, v_{oc} is the open-circuit voltage, i_B is the battery current, and R is the equivalent battery resistance.

3. DC/AC MICROGRID OPERATION

As indicated in the above Table 1, the microgrid operates in two modes. Namely i) Grid associated mode of operation; in this case the converter operates in two modes 1) inverter mode of operation 2) Rectifier mode of operation. When the generated power from wind and solar are greater than load power ($P_S > P_L$), battery is charging and the rest power is sending to utility grid. This

operation is known as inverter mode. The second operation is when the generated power is less than load power ($P_S < P_L$) the converter operates as rectifier in order to stable the dc bus voltage. ii) The islanding mode of operation.

3. 1. The Proposed Energy Management of the Microgrid System

A 15 kW photovoltaic system is used in the proposed work to feed power at the best environmental conditions. The BESS is also used in parallel with the PV array. The main purpose of BESS is to store the surplus power from DC bus and deliver it back later in case when it is required. The BESS is also used to compensate the power constraints of PV system. To track the load demand, a control technique is developed by using PV/battery hybrid system as shown in Figure 6. The battery charging current is 10% of the ampere hour (Ah) rating of the battery. Thus the extra power is sent to the ac-grid after BESS charges up to its maximum charging current rate. The entire energy management is controlled by the conventional switching

algorithm. Accordingly, as per the required inputs shown in Table 2, the proposed energy management system generates the control signals for the converters connected to input/output of the microgrid system.

4. SIMULATION RESULTS AND DISCUSSIONS

The proposed model is simulated in Matlab/Simulink environment. For the PV system the direct duty cycle control P&O MPPT based method is implemented. BESS is included via PWM bi-directional converter and for ac loads three-phase SPWM control technique inverter is used. In case of grid connected converter, a current controlled VSI is used for active rectifier control scheme. Simulation result for case 1 for different parameters like power, voltage and current are shown in Figures 7 to 17. Figure 10 shows the power before boosted and Figure 13 shows after boosted case, here we can observe the slope of the curve.

TABLE 1. Indicate the DC/AC Micro grid in different operation mode

Mode	Micro-grid state	PV	Battery	Grid AC/DC	Load
I	Grid connecting	MPPT	Charging	Inverting	Normal
II	Grid connecting	MPPT	Discharging	Rectifying	Normal
III	Islanding	Constant voltage	Shout down	Shutdown	Normal
IV	Islanding	MPPT	Discharging	Shutdown	Normal
V	Islanding	MPPT	Charging	Shutdown	Normal
VI	Islanding	MPPT	Discharging	Shutdown	Load shading

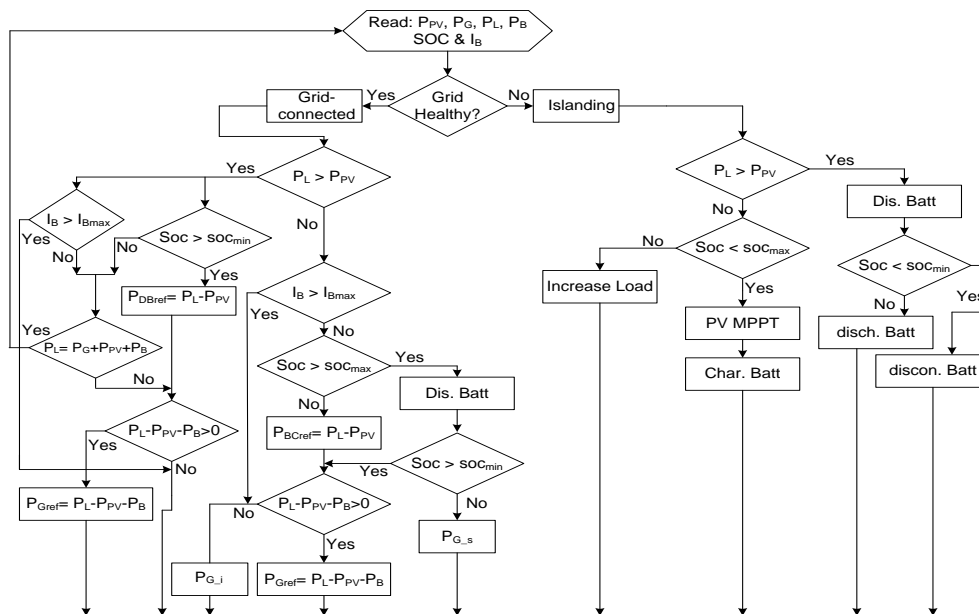


Figure 6. Proposed power management flow chart

TABLE 2. Input/output of proposed power management system

Abbreviations	Description
P_L	Load Power
P_G	Grid Power
P_{pv}	PV Power
SOC	Battery State of Charge
P_{Bdref}	Battery discharging reference
P_{Bcref}	Battery charging reference
P_{Gref}	Grid reference power
P_{G_i}	Power injecting to grid
P_{G-S}	Power supplied from grid
I_B	Battery charging current
I_{Bmax}	Maximum battery charging current

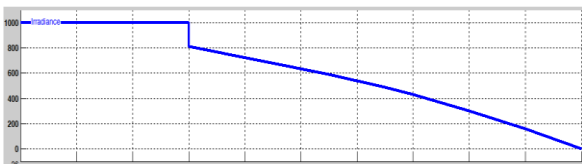


Figure 7. Changes of irradiation with time

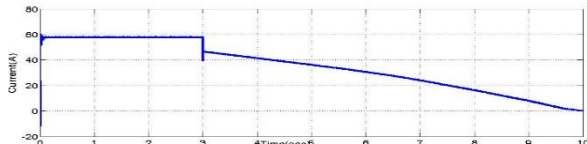


Figure 8. Current of solar before boosted

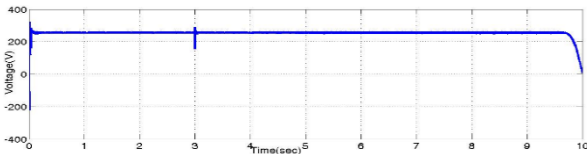


Figure 9. Voltage of solar before boosted

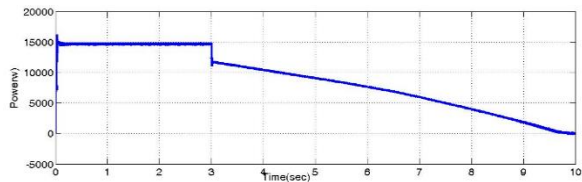


Figure 10. Power of solar before boosted

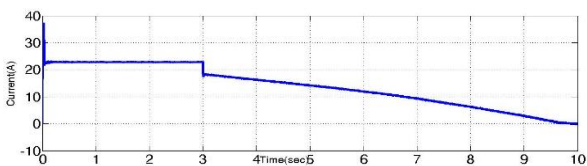


Figure 11. Current of solar after boosted

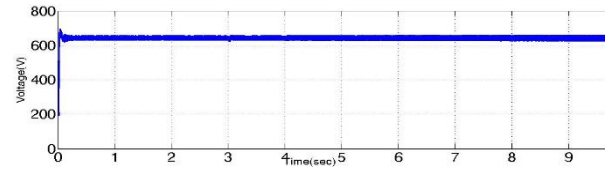


Figure 12. Voltage of solar source after boosted

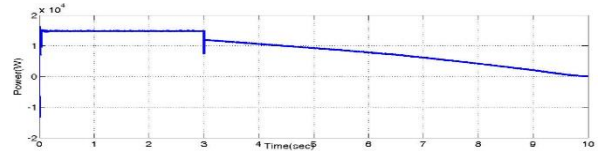


Figure 13. Power of solar after boosted

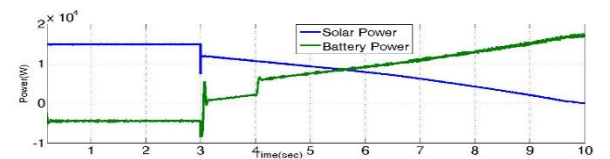


Figure 14. Solar power and Battery power

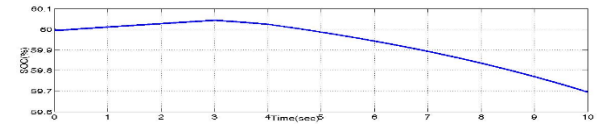


Figure 15. Battery SOC%

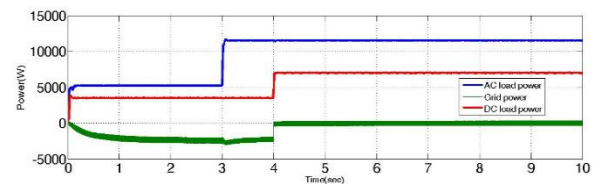


Figure 16. Power of AC load, DC load and grid

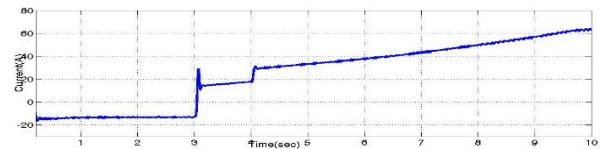


Figure 17. Battery current

For case 2 the simulation results are as follows. Figure 18 shows change of irradiation with time. Figure 19(a) shows the DC output voltage of PV panel is boosted to desired level and interfaced to dc bus through boost converter. Using SPWM inverter having peak value of 300V (phase voltage) the boosted dc voltage is converted to AC as shown in Figure 24. At 1000W/m² insolation the power generated by PV panel is 15 kW as shown in Figures 19(c) and 20(c) and it is observed to be 98.3% MPP efficiency. Similarly, when irradiance increases from 500W/m² to 700W/m² during 8 to 10 seconds, power also increases from 7.5 kW to 10 kW. During this variation PV voltage remains almost constant but current increases proportionally as per the insolation variation.

From Figure 21, it can be observed that when solar generation is more ($P_G > P_L$, from 0 to 4 seconds), the surplus power is delivered to grid and battery (battery is charging from 60 to 60.2% SOC), the resulting increase in battery voltage and a negative battery current indicates charging. When the generation is less than load (from 4 to 8 seconds), battery feeds deficient power to the load and the battery get discharged from 60.2 to 59.5% SOC, resulting decrease in battery voltage and a positive battery current indicates discharge mode of operation. This combination of supply tries to keep the power and voltage variation minimum under varying irradiance. Therefore, Figure 23 illustrates that using battery energy storage it is possible to keep the bus voltage stable having less variation. The steady state output power is 14.6 kW and the overall efficiency of 95.7% is achieved. The main reason of losses is due to switching losses in the converter. Figure 25 shows the simulation result of grid-connected ac current. In islanding mode, the dc grid bus is maintained by the PV and BESS. Rectifier/inverter is always linked offline with grid. Figure 26 shows the simulation result of islanding operation mode. The figure shows that during 0-4 second periods, when solar PV is operating at rated power, load is increased. From 4 – 8 seconds, PV generation decreased but load increased, the battery is discharging to supply deficient power for the load. After 8 seconds battery discharging is decreased because PV generation is increased. Figures, 27(a) and 27(b) show current and SOC of battery respectively in islanding operation. The effect of variation of irradiance under constant temperature (25°C) in grid-connected and islanding mode is implemented by carrying out the simulation in the MATLAB/Simulink environment. The DC/AC microgrid architecture shown in Figure 1 is modeled in Matlab/Simulink.

The switches of BESS are IGBTs. To meet the required bus voltage the boost converter provides the desired boosted dc link voltage. From the design consideration, value of the boost converter parameter is chosen as $L = 2.5\text{mH}$, $C_{in} = C_{out} = 47 \mu\text{F}$ for 10 kHz switching frequency. It is ensured that even if irradiance changes as shown in Figure 20, the output voltage is maintained constant (Figures 21(a) and 25).

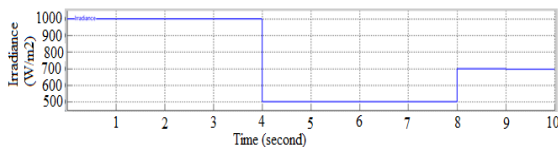


Figure 18. Irradiance at constant temperature (25°C)

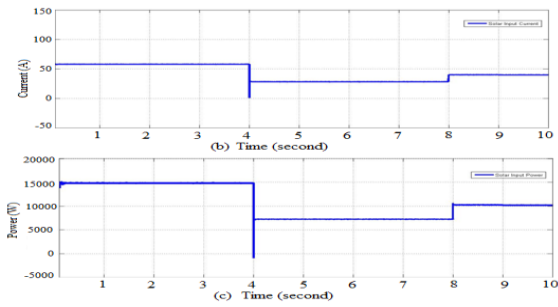
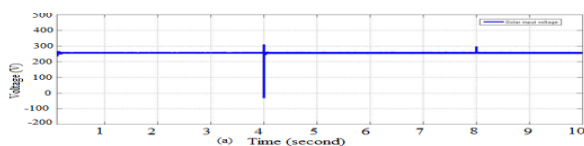


Figure19. Simulation result of PV output (a) voltage (b) current and (c) Power during change in irradiance

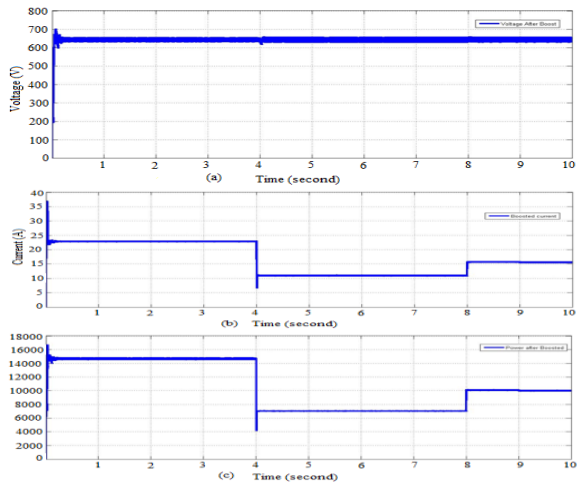


Figure 20. Boost converter outputs, (a) Voltage (V), (b) Current (A), (c) Power (W)

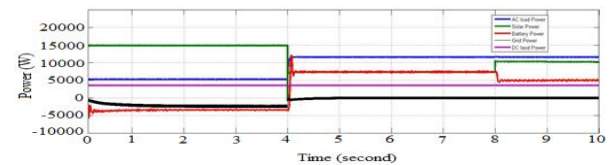


Figure 21. Simulation result of output power during change in solar irradiance

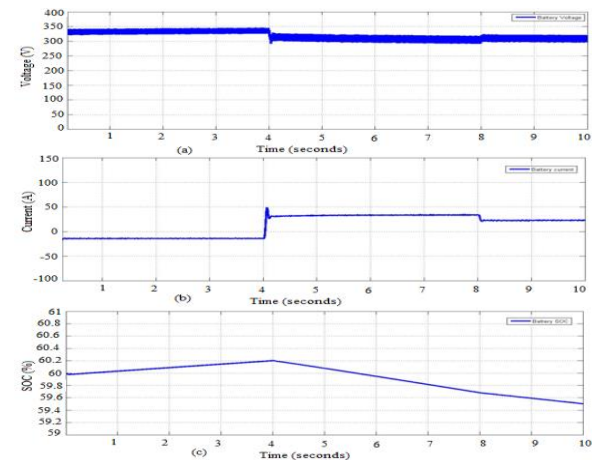


Figure 22. Simulation result of Battery (a) Voltage, (b) Current and (c) SOC

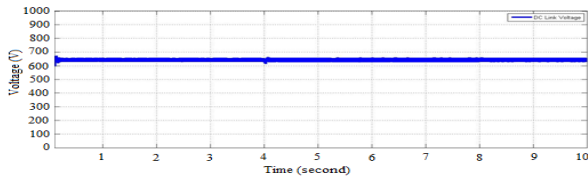


Figure 23. Simulation result of DC bus voltage

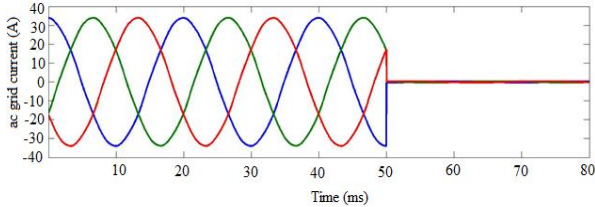


Figure 24. Simulation result of ac grid current in grid-connected mode (Zoomed portion)

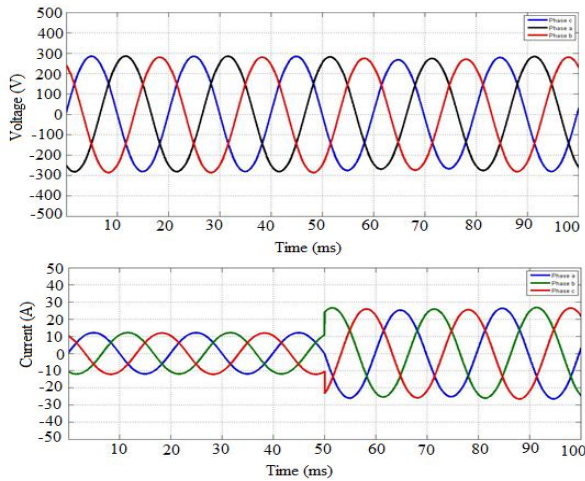


Figure 25. Zoomed portion of load side inverter output voltage and current waveform

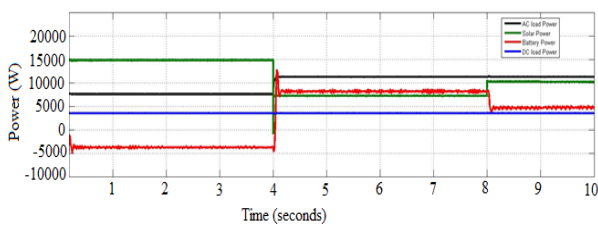


Figure 26. Simulation result of output power during change in solar irradiance (islanding mode)

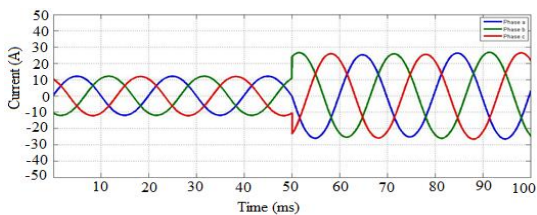


Figure 27(a). Simulation result of AC load current during islanded mode

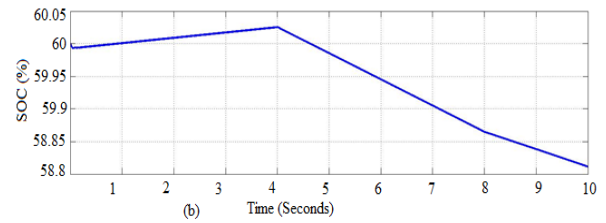


Figure 27(b). Simulation result of BESS during islanded mode SOC (%)

5. CONCLUSION

In this paper, DC/AC microgrid with PV power generation and BESS is studied for dc and ac load applications. To fulfill the power management, control techniques for BESS regulation converter and grid connected bidirectional active rectifier are designed. The BESS stores power generated by the PV plant enabling to continue operation in maximum power point during high load demand. Similarly, the grid can be used to support the system by supplying additional active power for the load. In the same way, the power generation from PV system is effectively used to keep the system energy management. Simulations were performed and validate the effectiveness of the proposed control system.

6. REFERENCES

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7. APPENDIX

TABLE 3. Solar array parameters

Max. power	15[kW]	Short circuit current	5.96[A]
Voltage at MPP	54.7[V]	Open circuit voltage	64.2[V]
Current at MPP	5.58[A]	No of series connected modules	5
No of cell per module	96	No of parallel connected modules	10

Implementation of Optimal Load Balancing Strategy for Hybrid Energy Management System in DC/AC Microgrid with PV and Battery Storage

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این مقاله مدلسازی میکرو گرید DC / AC را با استفاده از واحدهای ذخیره انرژی و پانلهای فتوولتائیک (PV) ارائه می دهد. مودال شامل دو مرحله تبدیل قدرت می باشد. این نیرو به دو بار DC و AC با این پنل خورشیدی PV عرضه می شود. روش مناسب برای کشف مدل تولید PV با استفاده از داده های تولید کننده است. مبدل دو طرفه به سیستم ذخیره سازی باتری و باتری DC وصل شده است. برای حفظ ولتاژ اتوبوس پایدار است، سیستم ذخیره سازی هر قدر که نسل بالاتر باشد، قدرت بیش از حد را جذب می کند و زمانی که نسل پایین تر تولید می شود قدرت بار را به دست می آورد. این سیستم خطرات جزر و مد را با عرضه بارهای محلی به طور مداوم ناشی از انحلال شبکه از بین می برد. این مقاله تاکید بر کنترل و پایداری ولتاژ DC و ولتاژ مدیریت انرژی است. نرم افزار Matlab / Simulink برای ادغام مدل سازی سیستم استفاده گردید و کارایی سیستم با شبیه سازی تایید شده است.

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