



## Repeated Record Ordering for Constrained Size Clustering

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### ABSTRACT

One of the main techniques used in data mining is data clustering, which has many applications in computer science, biology and social sciences. Constrained clustering is a type of clustering in which side information provided by the user is incorporated into current clustering algorithms. One of the well researched constrained clustering algorithms is called microaggregation. In a microaggregation technique, the algorithm divides the dataset into groups containing at least  $k$  members, where  $k$  is a user-defined parameter. The main application of microaggregation is in Statistical Disclosure Control (SDC) for privacy preserving data publishing. A microaggregation algorithm is qualified based on the sum of within-group squared error,  $SSE$ . Unfortunately, it has been proven that the optimal microaggregation problem is NP-Hard in general, but the special univariate case can be solved optimally in polynomial time. Many heuristics exist for the general case of the problem that are founded on the univariate case. These techniques order multivariate records in a sequence. This paper proposes a novel method for record ordering. Starting from a conventional clustering algorithm, the proposed method repeatedly puts multivariate records into a sequence and then clusters them again. The process is repeated until no improvement is achieved. Extensive experiments have been conducted in this research to confirm the effectiveness of the proposed method for different parameters and datasets.

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## 1. INTRODUCTION

Nowadays, there is a considerable demand for real-world datasets in various data mining tasks. However, the privacy of involved entities usually agitates data owners about the usage of such information [1, 2]. Privacy preserving data publishing is the task that addresses the problem. The problem is also investigated in research communities of the Internet of Things (IoT) [3, 4] and Statistical Disclosure Control (SDC). Usually, the privacy requirement in terms of Disclosure Risk ( $DR$ ) is formalized using a computational privacy model, which can then be realized by an implementation method. The main idea of different solutions is based on changing the original data records to preserve the privacy of involved entities. Such changes decrease the utility of published data which is stated by Information Loss ( $IL$ ). It is desired to minimize both the competing indices of  $DR$  and  $IL$ , which is a challenging multi-objective optimization task

[5].

One of the most famous computational privacy models is called  $k$ -anonymity [6]. In a  $k$ -anonymous dataset, for each set of identifying attributes, there exist at least  $k$  records. Therefore, an intruder who knows some attributes of an entity cannot limit its record data to a small group, i.e., a group with less than  $k$  members. Microaggregation is a perturbative approach to realize  $k$ -anonymity. It was initially developed for numerical data volumes, while it can also be used for other types of datasets [7]. A microaggregation technique tries to cluster the dataset records into groups with at least  $k$  members and then aggregates them into their centroids. The centroids are then substituted for the original records and published for public usage. In other words, the original entries are masked using their associated centroids. The replacement decreases the details of the published values, which results in  $IL$ . For microaggregation algorithms,  $IL$  is usually quantified in terms of the sum of within-group

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squared error ( $SSE$ ).

Unfortunately, it has been proven that given the privacy parameter  $k$ , the optimal microaggregation problem is NP-hard in general [8]. Still, the univariate instance can be optimally solved in polynomial time using the Mukherjee and Hansen Microaggregation (MHM) algorithm [9]. Some heuristic approaches try to map the general multivariate microaggregation problem to the univariate case [10, 11]. For example, the NPN-MHM algorithm [10] traverses all records in a Nearest Point Next fashion starting from the farthest record from the dataset centroid to put them in a sequence and then applies MHM on the output ordering. Similarly, MDAV-MHM [10] clusters the dataset using a traditional microaggregation algorithm, Maximum Distance to Average Vector (MDAV) [7] and then visits all records, group by group. Mortazavi et al. proposed Improved MHM (IMHM<sup>‡</sup>) [11], which accelerates MHM and uses it in multivariate microaggregation. However, existing techniques are not general and usually suffer from increased  $IL$  when the dataset has an internal structure and is naturally clustered. For instance, NPN-MHM is more useful in anonymizing datasets with very separated clusters, but for clustered data with moderate gaps or skewed data, the CBFS-MHM and MDAV-MHM produce the best results [10]. Similarly, IMHM [11] is more successful when  $k$  is small and the dataset is clustered, but for homogeneous datasets, it produces more useful anonymized versions when  $k$  is large. Additionally, comparing the results of some recent heuristics with proved lower bounds of the problem [12] shows large gaps in some cases.

The primary contribution of this paper is to propose an innovative ordering technique in which multivariate data records are ordered in a sequence while considering the internal structure of the dataset using a conventional clustering method. Additionally, it is shown that the process of converting the output of a clustering algorithm to a sequence can be repeated that in turn results in considerable improved  $IL$ . Extensive experiments in this research show the advantage of the proposed method in terms of data utility in comparison with similar previous techniques.

The remainder of the paper is structured as follows. Section 2 formalizes the microaggregation problem. Section 3 reviews some related microaggregation algorithms. Section 4 describes the proposed method. Experimental results are reported in Section 5. Finally, Section 6 concludes the paper.

## 2. MICROAGGREGATION PROBLEM

In this section, the problem of microaggregation is

formalized. Assume a dataset  $T$  of  $n$  numerical records in a  $d$ -dimensional space, i.e.,  $T = \{x_1, x_2, \dots, x_n\}$  where  $x_i \in \mathbb{R}^d$ . Given an input value  $k$  as the privacy parameter, the microaggregation algorithm aims to partition the whole dataset  $T$  into  $c$  non-overlapping groups  $G_1, \dots, G_c$  each with at least  $k$  members. The objective of microaggregation techniques as an optimization problem is to minimize the  $SSE$ , which aims to obtain clusters of similar records. This measure is shown in Equation (1).

$$SSE = \sum_{p=1}^c \sum_{j=1}^{|G_p|} (x_{pj} - \bar{x}_p)^T (x_{pj} - \bar{x}_p) \quad (1)$$

In Equation (1),  $x_{pj}$  is record  $j$  of group  $G_p$ , and  $\bar{x}_p$  denotes the centroid of  $G_p$ , i.e.,  $\bar{x}_p = \sum_{j=1}^{|G_p|} x_{pj} / |G_p|$ . The value is usually divided by the Sum of Squares Total ( $SST$ ) to normalize  $IL$ .  $SST$  is related to the dataset itself and is invariant to the microaggregation algorithm or the privacy model parameters. It is formulated in Equation (2).

$$SST = \sum_{i=1}^n (x_i - \bar{x})^T (x_i - \bar{x}) \quad (2)$$

In Equation (2),  $\bar{x}$  is the centroid of the whole dataset, i.e.,  $\bar{x} = \sum_{i=1}^n x_i / n$ . The normalized measure  $IL = SSE / SST * 100\%$  is always between 0 and 100%, where lower values of  $IL$  indicate less utility degradation due to microaggregation.

## 3. RELATED WORKS

It was shown by Domingo-Ferrer and Mateo-Sanz that in an optimal constrained size clustering, each group contains at most  $2k - 1$  records [13]. A polynomial-time technique was developed by Hansen and Mukherjee for univariate microaggregation that is called MHM [9]. The MHM first sorts univariate records and then creates a directed acyclic graph in which each arc in the graph matches a valid group that may be a cluster in the optimal solution. The authors showed that the optimal univariate microaggregation problem is reduced to computing the shortest path in the graph. A cluster exists in the optimal partition if its equivalent arc is in the computed shortest path. The complexity of the technique is  $O(\max(n \log n, k^2 n))$ . Mortazavi *et al.* introduced an improved implementation of the MHM called IMHM [11] that makes use of incremental weight computation of graph arcs to improve the complexity of graph construction to  $O(kn)$  operations. The authors generalized the application of IMHM for multivariate datasets in an iterative optimization process, but the experiments show that the user has to carry out different experiments with multiple parameters, which is a time-consuming task.

<sup>‡</sup> The pseudo-code of the IMHM is described briefly in the Appendix.

The optimal property of MHM provides a hopeful tactic to solve the challenging problem of the multivariate microaggregation. However, sorting multivariate records for optimal microaggregation is not well-defined. Therefore, different heuristics are devised in literature to sequence multivariate records. Domingo-Ferrer *et al.* [10] proposed some heuristics, such as the Nearest Point Next MHM (NPN-MHM), MDAV-MHM, and Centroid-Based Fixed-Size MHM (CBFS-MHM) to order records and form a sequence of them. Then, MHM is applied to records on the path. However, their reports show that their approach is usually far from optimal, especially for clustered datasets. Monedero *et al.* used two projection methods, i.e., Principal Component Analysis (PCA) and Z-score, to reduce the dimension of the underlying dataset to one [14]. In the PCA technique, the first principal component of the dataset is utilized to sort data records. The Z-score algorithm orders multivariate records based on the sum of their Z-scores. Again, there is a significant distance to optimal solutions in both methods. Soria-Comas and Domingo-Ferrer presented a method to satisfy the differential privacy requirement [15] through univariate microaggregation [9]. Additionally, Mortazavi and Jalili introduced the Fast Data-oriented Microaggregation algorithm (FDM) [16] that produces an optimal assignment of records with respect to their Travelling Salesman Problem (TSP<sup>s</sup>) tour for a continuous range of the privacy parameter  $k$ . However, the running time to compute the TSP tour of multivariate records is considerable. More recently, Khomnotai *et al.* devised the Iterative Group Decomposition (IGD) technique [17] to refine the solution of a microaggregation algorithm by either shrinking or decomposing its clusters. Unfortunately, none of the mentioned methods can achieve near-optimal solutions. They are usually useful for particular datasets with pre-specified data distribution or very limited ranges of  $k$ . Moreover, the methods in the literature are somehow hard-coded with complex parameters that limit their flexibility in practice. It is therefore desired to devise a general method that can produce more useful anonymized datasets, which is addressed in the next section.

#### 4. PROPOSED MICROAGGREGATION ALGORITHM

In this section, the Repeated record Ordering heuristic for multivariate Microaggregation, RepOrdMic is detailed. Briefly, the algorithm accepts an initial clustering of records and traverses all records group-by-group to complete a sequence (ordering) of all records. In each group, all records are visited using a TSP heuristic, and

<sup>s</sup> Please recall that given a list of points, the TSP is to find the shortest possible route that visits each point and returns to the origin [16].

then the nearest unexplored group is processed. After all records were added to the sequence, the IMHM is utilized to produce a (constrained) clustering. The process is repeated until no significant improvement is achieved. Algorithm 1 shows the pseudo-code of the proposed method<sup>\*\*</sup>. The algorithm accepts the normalized dataset  $T$ , the privacy parameter  $k$ , and an initial clustering label  $lbl_{in}$  as inputs, and produces the perturbation error  $SSE$  and labels of assigned records to constrained size groups  $lbl_{out}$  as outputs. The function initially creates an empty sequence  $Seq$  to store the total ordering of multivariate records in Step 1. Step 2 finds the farthest record  $x_f$  from the whole dataset centroid and then stores it in the current record  $x_c$  in Step 3. In Steps 4 to 9, all records in  $T$  are visited group-by-group and the order of visiting them is saved in  $Seq$ . In Step 4, the group label of  $x_c$  is considered as the current group,  $G_c$ . If the current group has only one member, the algorithm continues to process other groups (Step 6-1). Otherwise, the algorithm looks for the most distant point from the current record  $x_c$  among current group members and adds it to the end of  $Seq$ . Other records in the current group will be inserted between these two group members. The process utilizes an idea inspired by the nearest insertion heuristic to solve TSP for entering all records in the current group to the  $Seq$ . Steps 7 to 9 repeatedly choose an unseen record with minimum distance to its nearest neighbor among the current group members in  $Seq$ . Then, they insert it between the two consecutive records (Figure 1) for which such an insertion causes the minimum increase in the total sequence length of  $Seq$ . In other words, the insertion has to minimize  $len(Seq) = \sum_{i=1}^{|Seq|-1} D(Seq[i+1], Seq[i])$  where  $D(\cdot)$  denotes the Euclidean distance operator. For example, by inserting  $x_t$  between two records  $x_i$  and  $x_{i+1}$ ,  $D(x_i, x_t)$  and  $D(x_t, x_{i+1})$  are added to the total length of  $Seq$ , but the distance between  $x_i$  and  $x_{i+1}$ , i.e.,  $D(x_i, x_{i+1})$  is subtracted from the total length. These cost values are computed in Step 9, and the minimum one is added to  $Seq$  in Step 10. The process continues until all records in the current group are added to  $Seq$ . In Step 12, if no unseen record remains, the algorithm goes to Step 14, otherwise the nearest unseen record in the whole dataset to the last record in  $Seq$  is chosen as the current record in Step 12-2, and the process of record ordering continues from Step 3. After ordering all records, the algorithm applies the optimal univariate microaggregation algorithm IMHM on it to produce a clustering that satisfies the size constraint and computes its  $SSE$  in Step 13. This clustering can be fed again to the algorithm to reorder all records and improve  $SSE$  (Step 15). If  $SSE$  is not decreased significantly, the function terminates, and the last computed  $SSE$  and the final

<sup>\*\*</sup> An illustrative example of the algorithm execution on a small dataset is provided in the supplementary document of the paper.

clustering labels are returned as the algorithm outputs in Step 16.

**4. 1. Analysis of the Algorithm** The idea of using an initial clustering makes it possible to capture the inherent structure of the underlying dataset. Moreover, processing all records in a group-by-group fashion enables the process to focus on records of the current group rather than the whole dataset that makes the algorithm more efficient. The proposed method consists of repeated steps of record ordering in a sequence and applying IMHM. The *SSE* of each iteration of the loop is

not worse than its value in the previous iteration since the ordering procedure meets records in a group-by-group manner and IMHM does not change the order of records in each group. Therefore, the *SSE* of each iteration improves gradually, or no significant decrement occurs at the last step, and the algorithm stops. It is also notable that the algorithm stops necessarily since the lower-bounded *SSE* cannot improve infinitely<sup>††</sup>.

The space complexity of the proposed method for  $|T| = n$  is  $O(n)$  that is used for storing the ordering of records in *Seq* and computing the optimal clustering in IMHM. However, the runtime complexity is

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**Algorithm 1.** The pseudo-code of the RepOrdMic

**Input:**  $T = \{x_1, x_2, \dots, x_n\}$ : original dataset,  $k$ : the privacy parameter,  $lbl_{in}$ : initial clustering labels

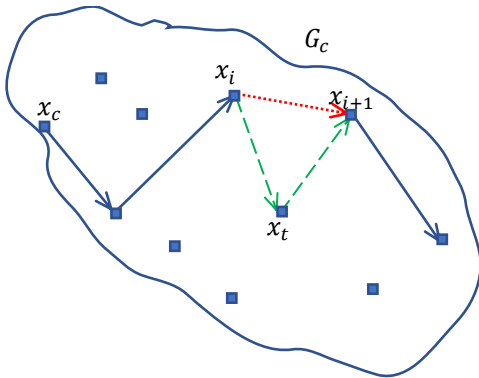
**Output:** *SSE*: microaggregation error,  $lbl_{out}$ : the label of records to groups with at least  $k$  members

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1 Initialize the sequence Seq to NULL
2 Find the farthest record  $x_f$  from the dataset centroid
3  $x_c \leftarrow x_f$ 
4 Set the group label of  $x_c$  as the current group  $G_c$ , i.e.,  $G_c \leftarrow lbl_{in}[x_c]$ 
5 Add  $x_c$  to the end of Seq
6 If the current group size is less than 2
6-1 Goto Step 12.
Else
6-2 Find the farthest record from  $x_c$  in the current group and add it to the end of Seq.
7 ForEach consecutive records of  $G_c$  in Seq,  $x_i$ , and  $x_{i+1}$ 
8 ForEach record  $x_t$  in the  $G_c$  that is not in Seq
9 Compute the cost of  $x_t$  addition to Seq at position  $i$ , i.e.,  $cost_{t,i} \leftarrow D(x_i, x_t) + D(x_t, x_{i+1}) - D(x_i, x_{i+1})$ .
10 Find the minimum cost, say  $cost_{t^*,i^*}$  and insert  $x_{t^*}$  between  $x_{i^*}$  and  $x_{i^*+1}$ .
11 If there exists any record of the current group that is not in Seq, Goto Step 7.
12 If there is not any unseen group
12-1 Goto Step 14
Else
12-2 From any unseen groups, find the nearest record to the last record in Seq, set it as the current record  $x_c$ , and Goto Step 3.
13 Apply IMHM to Seq, to compute information loss and new labels, and save them in SSE and  $lbl_{out}$ , respectively.
14 If SSE is improved significantly
15  $lbl_{in} \leftarrow lbl_{out}$ 
Goto Step 2
Else
16 Return the last SSE and  $lbl_{out}$ 

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**Figure 1.** Adding  $x_t$  between  $x_i$  and  $x_{i+1}$  in the current group  $G_c$ . Dashed green and dotted red lines indicate inclusion and removal, respectively

considerable. It is assumed that an initial clustering is provided before the first iteration. This clustering can be used for multiple values of the privacy parameter  $k$  and has to be computed once so that its execution time can be safely discarded. Finding the farthest record from the dataset centroid in Step 2 requires  $O(n)$  operations. In the following steps of the algorithm, each iteration orders records of each group in a sequence. Except for the first step that processes the initial clustering labels, the following clustering results have  $O(k)$  records in each group since they are the output of IMHM. Therefore, for each of  $O(k)$  records in a clustering with  $O(n/k)$  groups, the cost values can be computed in  $O(k)$  operations. The process has to be repeated  $O(k)$  times in each group to cover all records in the group, thus  $O(k^3)$  operations are

<sup>††</sup> Note that the number of different orderings is limited and the algorithm visits each ordering at most once, so it has to stop. In practice,

the iterations are broken when no significant improvement in *SSE* is achieved.

needed for each group. Finding the nearest unseen record of other groups is accomplished in  $O(n)$  and is repeated  $O(n/k)$  times. Hence, the ordering is completed in  $O\left(\frac{n}{k} \cdot k^3 + n \cdot \frac{n}{k}\right) = O\left(n \cdot k^2 + \frac{n^2}{k}\right)$  computations. The univariate microaggregation algorithm can be implemented efficiently in  $O(nk)$  operations. As a result, for  $l$  iterations, the algorithm complexity is  $O(l \cdot n \cdot (k^2 + k + n/k))$ . However, it is notable that the whole process is an offline task, and the runtime is usually not a bottleneck, but the quality of the produced clustering in terms of  $SSE$  is more important.

As a side note, for an efficient implementation of the ordering process, it can be seen that after inserting a record to sequence  $Seq$ , most of the previously computed cost values remain the same and can be reused, but a small number of them has to be computed or updated.

## 5. EXPERIMENTAL RESULTS

A prototype of the proposed method was implemented in Microsoft Visual C++ 2019 in release mode. All evaluations are conducted within Windows 10 operating system on a regular laptop with Intel Core i5-8265U 1.60 GHz CPU and 8 GB of main memory. For initial clustering, different outputs of the  $k$ -means clustering algorithm are used for a number of clusters between 1 and 200. Additionally, the iterations are broken when  $\Delta SSE < 1e - 7$ .

Experiments were performed on three real-world benchmark datasets that are usually used for the evaluation of microaggregation algorithms. Benchmark datasets that are used in related previous studies [16, 17] are described in Table 1. All datasets contain numeric attributes without any missing values.

Table 2 shows information loss for various values of  $k$ . The proposed algorithm, namely RepOrdMic, is compared with MDAV [11], MDAV-MHM [10], IMHM [11], and IGD [17] methods. The results show that  $IL$  increases when  $k$  becomes greater for all microaggregation algorithms. For instance,  $IL_{MDAV-MHM}$  for Census and  $k = 3$  is 5.65, which is increased to 14.22 for  $k = 10$ . Additionally,  $IL$  of Tarragona dataset is generally higher than the other two datasets since it is known as a sparse dataset, which increases the cost of the

**TABLE 1.** Standard benchmark datasets for microaggregation comparison [16]

Dataset name	Number of data records ( $n$ )	Number of numeric attributes ( $d$ )
Tarragona	834	13
Census	1080	13
EIA	4092	11

**TABLE 2.** Information loss comparison for various standard datasets. Best  $IL$  values are bolded

Dataset	$k$	MDAV	<b>MDAV-MHM</b>	IMHM	IGD	RepOrdMic
Tarragona	3	16.93	16.93	16.93	15.60	14.80
	5	22.46	22.46	22.18	21.31	21.13
	10	33.19	33.19	30.78	32.87	31.13
Census	3	5.69	5.65	5.37	5.33	5.01
	5	9.09	9.09	8.42	8.37	7.94
	10	14.16	14.22	12.23	12.65	12.74
EIA	3	0.48	0.41	0.374	0.39	0.369
	5	1.67	1.26	0.76	0.76	0.75
	10	3.84	3.77	2.17	2.02	1.99

anonymization process. The classic methods MDAV [11] and MDAV-MHM [10] are reported as reference techniques but do not produce any competing results in total. Similarly, the results of IGD are always worse than the winner methods. The IMHM [11] is more successful in the anonymization of Tarragona and Census for  $k = 10$ , while the difference between IMHM and RepOrdMic is negligible in these cases. RepOrdMic, the proposed method, achieves the best results in other cases. For example, for EIA and  $k=10$ , RepOrdMic has improved the outputs of MDAV, MDAV-MHM, IMHM, and IGD by 48.18%, 47.21%, 8.29%, and 1.48%, respectively. In brief, the results indicate that RepOrdMic is successful in producing more useful datasets in 7 out of 9 experiment sets.

All elapsed times of the proposed method, excluding initial clustering, read and write disk operations, and (de)normalization are shown in Table 3. These values are reported for 200 different number of initial clusters from 1 to 200 that are produced by  $k$ -means seeded with 0. The runtime of the algorithm for EIA is much larger than the other two cases since EIA is a large clustered numeric volume that makes it difficult and time-consuming for the algorithm to satisfy the privacy requirement. The experiments also show a decreasing runtime trend when  $k$  increases since for small values of  $k$ , the runtime of Step 12-2 in Algorithm 1 dominates the runtime of other parts, but the behavior changes when  $k$  becomes larger. In brief, given an initial clustering, the algorithm is efficient and general; it usually terminates in a reasonable time regardless of the privacy parameter and underlying distribution or structure of the dataset.

Notably, the experiments can be extended to evaluate other important issues about the proposed method such as its effect on the proximity-based attack [18], its application for anonymization of complex structures such as graphs [19], and the impact of using other initial clustering techniques such as x-means [20] or consensus clustering [21].

**TABLE 3.** The runtime of RepOrdMic for 200 initial clustering labels.

Dataset	$k$	Total time (sec)	Total Iterations	Avg Iterations per clustering	Time per iteration (msec)
Tarragona	3	5.4	2525	12.63	2.14
	5	4.6	2755	13.78	1.67
	10	5	2988	14.94	1.67
Census	3	7.3	2368	11.84	3.08
	5	6.6	2504	12.52	2.64
	10	5.7	2527	12.64	2.26
EIA	3	51.3	1643	8.22	31.22
	5	36.8	1811	9.06	20.32
	10	25.9	1922	9.61	13.48

## 6. CONCLUSIONS

This paper presents a novel microaggregation algorithm based on the repeated ordering of multivariate records and mapping them to optimal univariate microaggregation algorithm IMHM. The process of ordering and applying IMHM is repeated until no significant improvement is achieved in terms of  $SSE$ . The output quality of the proposed method is usually better than similar methods in terms of  $IL$ . Extensive experiments on real-world datasets for different values of the privacy parameter  $k$  confirm that the algorithm is an efficient and general approach for practical usages. A promising extension of the proposed technique for future study is the way the records are ordered in a sequence.

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## 8. APPENDIX

### THE IMHM ALGORITHM

The appendix presents the pseudo-code of IMHM in brief. More details about the algorithm can be found in [9,11]. The main idea of IMHM is to calculate grouping errors incrementally to improve the time complexity of MHM [9]. The pseudo-code of the IMHM is provided in Algorithm 2. The algorithm accepts original records (as an ordered set)  $T$ , the privacy parameter  $k$ , and outputs  $SSE$  and record labels. The trivial case of  $n < 2k$  is handled in Step 1 in which all records are assigned to one group. In Step 2, a directed acyclic graph  $M(V, E)$  with  $V = \{v_0, v_1, \dots, v_n\}$  is initialized ( $v_0$  is a dummy node

and  $v_i$  represents  $X_i$  in  $T$  for  $0 < i \leq n$ ). In the following steps, some directed arcs are added to  $M$ . The weight of each arc equals to the  $SSE$  of grouping records between the start and end nodes of the arc. In Steps 6-7, the  $Centroid$  and  $SSE$  of the first group are calculated. The results are stored in Steps 8-9 for later usage. Then, other records are added to the cluster until the size of the group reaches the limit of  $2k - 1$  or no more record remains for addition. The weight of each arc is computed progressively in Steps 11-21. In Step 22, the shortest path from  $v_0$  to  $v_n$  is saved in  $SP$ . The microaggregation error  $SSE$  and assignment  $A$  are computed in Steps 23 and 24-28, respectively. Finally, the values are returned in Step 29.

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**Algorithm 2.** The pseudo-code of the Improved MHM (IMHM) [11]

**Input:**  $T = (X_1, X_2, \dots, X_n)$ : dataset of original records in order,  $k$ : the privacy parameter

**Output:**  $SSE$ : microaggregation error,  $A$ : the assignment of records to clusters with at least  $k$  members

```

1  If  $n < 2k$ , assign all records to the same cluster, calculate its  $SSE$  and Return  $SSE$  and the assignment
2  Initialize the directed acyclic graph  $M(V, E)$ ,  $|V| = n + 1$ 
3  For  $i \leftarrow 0$  To  $n - k$ 
4      For  $j \leftarrow i + k$  To  $\min(n, i + 2k - 1)$ 
5          If  $i = 0$  And  $j = i + k$ 
6               $CurrentCentroid \leftarrow \text{MEAN}(X_1 \text{ to } X_k)$ 
7               $CurrentSSE \leftarrow \text{calculate } SSE$ 
8               $BaseCentroid \leftarrow CurrentCentroid$ 
9               $BaseSSE \leftarrow CurrentSSE$ 
10             Elseif  $j = i + k$ 
11                  $Delta \leftarrow X_{i+k} - X_i$ 
12                  $CurrentCentroid \leftarrow BaseCentroid + Delta/k$ 
13                  $CurrentSSE \leftarrow BaseSSE + \frac{\sum_{l=1}^d Delta[l] \cdot ((1-k)Delta[l] + 2k(X_{i+k}[l] - CurrentCentroid[l]))}{k}$ 
14                  $BaseCentroid \leftarrow CurrentCentroid$ 
15                  $BaseSSE \leftarrow CurrentSSE$ 
16             Else
17                  $s \leftarrow j - i$  // the group size
18                  $OldCentroid \leftarrow CurrentCentroid$ 
19                  $CurrentCentroid \leftarrow OldCentroid + (X_j - OldCentroid)/s$ 
20                  $CurrentSSE \leftarrow CurrentSSE + \sum_{l=1}^d (X_j[l] - CurrentCentroid[l])(X_j[l] - OldCentroid[l])$ 
21                 Draw a directed edge  $e = (v_i, v_j)$  and set the weight  $w(v_i, v_j) \leftarrow CurrentSSE$ .
22             Compute  $SP$  as the shortest path from  $v_0$  to  $v_n$  in  $M(V, E)$ 
23              $SSE \leftarrow \text{The length of } SP$ 
24              $ClusterCounter \leftarrow 1$ 
25             For each edge  $e = (v_i, v_j) \in SP$ 
26                 For each  $v_m, i < m \leq j$ 
27                     Assign  $X_m$  to  $G_{ClusterCounter}$  //  $A[m] \leftarrow ClusterCounter$ 
28                  $ClusterCounter \leftarrow ClusterCounter + 1$ 
29             Return  $SSE$  and  $A$ 

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## Persian Abstract

## چکیده

خوشه‌بندی یکی از روش‌های اصلی در داده‌کاوی است که کاربردهای فراوانی در علوم کامپیوتری، زیست‌شناسی و علوم اجتماعی دارد. خوشه‌بندی مقید نوعی خوشه‌بندی است که در آن اطلاعات اضافی ارائه شده توسط کاربر در طی خوشه‌بندی دخالت داده می‌شود. یکی از انواع الگوریتم‌های مورد پژوهش در زمینه خوشه‌بندی مقید، الگوریتم زیرتجمیع است. در ریزتجمیع، الگوریتم خوشه‌بندی باید مجموعه داده را به گروه‌هایی با حداقل  $k$  عضو تقسیم کند که  $k$  یک پارامتر تعریف شده توسط کاربر است. کاربرد اصلی ریزتجمیع در کنترل افشای آماری است که برای انتشار داده‌ها با حفظ حریم خصوصی کاربرد دارد. کیفیت الگوریتم ریزتجمیع بر اساس مجموع مربع خطاهای داخل گروه اندازه‌گیری می‌شود. متأسفانه ثابت شده که ریزتجمیع بهینه در حالت کلی یک مسئله بهینه‌سازی  $NP$ -سخت است، اما نسخه تک بعدی آن به صورت بهینه و در زمان چند جمله‌ای قابل حل است. روش‌های ابتکاری زیادی بر اساس تبدیل به نسخه تک متغیره پیشنهاد شده است. این روش‌ها باید داده‌ها چند بعدی را در یک دنباله مرتب کنند. این مقاله روش جدیدی برای مرتب‌سازی داده‌ها پیشنهاد می‌کند. با شروع از یک خوشه‌بندی اولیه، روش پیشنهادی به صورت تکراری رکوردهای چند بعدی را در یک دنباله مرتب کرده و آنها را خوشه‌بندی می‌کند. این فرایند تا زمانی که بهبودی حاصل نشود ادامه می‌یابد. مجموعه وسیعی از آزمایش‌های تجربی انجام شده در این تحقیق نشان از برتری روش پیشنهادی برای پارامترها و مجموعه داده‌های مختلف دارد.